DT03 Rec'd PCT/PT0 2 9 SEP 2004 USFORM PTO-1390 U.S. DEPARTME COMMERCE PATENT AND TRADEMARK OFFICE DOCKET NUMBER **ATTOR** (REV. 10-2003) 44US1 CGL U.S. APPLICATION NO. (If known, see 37 CFR 1.5) TRANSMITTAL LETTER TO THE UNITED STATES 10/509570 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US2003/010560 02 April 2003 02 April 2002 TITLE OF INVENTION CHITOSAN PRODUCTION APPLICANT(S) FOR DO/EO/US Jim TRINKLE, Weiyu FAN, Ki-Oh HWANG Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. □ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. Mis not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). П a. I is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. is attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for make such amendments has NOT expired. d. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10.

An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

Filing of Papers and Fees by Express Mailing

Pursuant to 37 CFR § 1.10, this application and the documents and fees listed on this transmittal letter are being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date of Deposit

Express Mail Label No.

September 29, 2004

EL 760437000 US

Customer Number for Correspondence Address:

38550 Customer Number

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U.S. APPLICATION NO. (If known, see 37 7 5) INTERNATIONAL APPLICATION NO. PCT/US2003/010560				ATTORNEY'S DOCKET NUMBER CGL01/0044US1		
21. The following fees are submitted:						PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):						
Neither international preliminary examination fee (37 CFR 1.482)						
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search report not prepared by the EPO or JPO \$1080.00						
and international Search report not prepared by the EPO of 3FO \$1000.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$770.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months				\$	-	
from the earliest claimed priority date (37 CFR 1.492(e)).						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	10 - 20 =	0	X \$18.00	\$0.00		
Independent claims	2 -3=	0	× \$86.00	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$		
<u>TOTAL OF ABOVE CALCULATIONS =</u>				\$770.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½				\$		
SUBTOTAL =				\$770.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =				\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
TOTAL FEES ENCLOSED =				\$770.00		
101/IE1 EEG ENGEGOED				Amount to be	\$	
				refunded:	·	
				charged:		
 a.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any						
overpayment to Deposit Account No. 50-2342. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card						
information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))						
must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:						
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Address: SIGNATURE				PH	M	
CARGILL, INC						
Law Department Paula A. DeGran				dis		
P.O. Box 5624 NAME						
Minneapolis, MN 55440-5624 43,581						
REGISTRATION NU						